Federalism, Democracy and Inclusion: What About The Others?

Abstract

Two competing perspectives on the role of federalism in divided societies prevail: accommodation and integration. An accommodationist reading of federalism suggests drawing subunit boundaries to provide minority groups with self-rule whereas integrationist forms of federalism argue that units should be designed to cut across group lines. While these two perspectives offer important insights on securing democracy in divided societies, they both overlook the effect of federal design on “others,” that is, groups that face exclusion in the design of political institutions and in post-conflict governance processes. This contribution considers the scholarship on federalism and “others” in divided societies, focusing on gender and sexuality.
Introduction

Divided societies are marked by three characteristics that make designing democracy particularly challenging: they exhibit “a well-entrenched faultline” that has the potential for violence (often ethnicity, but sometimes also language, national identity and religion) (Guelke, 2012: 29); their politics is affected by “ethnic seepage” (Horowitz, 2001: 8), that is, political issues tend to cohere around the primary marker of division, and; they face “a lack of consensus on the framework for the making of decisions” (Guelke, 2012: 32). Two countervailing perspectives dominate the debate over constitutional design and conflict management in divided societies. Integration seeks “a single public identity coterminous with the state’s territory” whereas accommodation encourages “dual or multiple public identities” as well as “equality with institutional respect for differences” (McGarry, O’Leary and Simeon, 2008: 41). Both accommodation and integration see merit in federalism as a democratic mechanism for managing diversity but recommend different institutional configurations. A federation inspired by accommodation designs subunits in such a way as to secure self-rule for minority groups in their own units while maintaining shared rule between groups at the centre, as in Bosnia and Herzegovina, Belgium, and Canada. By contrast, the subunits of integrationist federations consist of heterogeneous units that cut across group lines where possible. This form of federalism is advocated for its dispersal of power, and thus conflict, away from the ethnic divide and is inspired by federal practice in such diverse places as the United States and Nigeria (Horowitz 2001).

Scholars continue to actively debate the ability of either form of federalism to manage diversity, to build trust among contending groups and to create the conditions for stability and democracy in divided societies (e.g., Hale 2004; Zahar 2013; McGarry and O’Leary 2009; Roeder 2009; Horowitz 2001). Nonetheless, while integration and accommodation offer different visions of federal design, they both begin with the same reference point: ethnic divisions. Consequently, both display an institutional bias in favour of what might be considered ‘politically relevant’ groups. Groups that do not meet the threshold for political relevance – either because they are too small, too territorially dispersed or because they do identify with the dominant ethno-divide – face exclusion during the constitutional design stage and, later, in the processes of governance. That is, the inclusion of some groups in the design of institutions entails the exclusion of others. While ethnicity may be the primary cleavage in a divided society, it is not the only way in which citizens organise their lives. What gets lost when we only focus on one kind of group in the design of institutions?

Conceptual Clarification

The design of democratic institutions, whether for integration or accommodation, displays an institutional bias in favour of territorially concentrated ethnic groups that are of a significant size and which are capable of disrupting state processes. The inclusion of these dominant groups entails the exclusion of non-dominant groups, often labelled as “others.” This ‘exclusion amid inclusion dilemma’ (Agarin and McCulloch 2017) is experienced by at least three kinds of groups:

1. Micro-minorities, i.e., groups which constitute a small proportion of the overall population (often in the range of 1-5%), either as a result of their relatively recent presence in the polity (such as, migrant communities), their systemic exclusion from political participation (such as, Roma communities in Eastern Europe), their limited engagement with the polity (such as, Mennonite and Hutterite communities in North America), or their territorial distribution across the state (e.g. indigenous communities in settler societies).

2. Non-ethnic minorities, i.e., groups that eschew ethnic labels and seek political participation on class-based grounds and/or outside of the ethnically defined societal frameworks and thus face entry barriers into politics that favours predefined ethnic identities;

3. Re-aligned minorities, i.e., groups that define gender, sexuality, and/or able-bodiedness as primary identities impacting on their opportunities for engagement in political process but which are neglected in the democratic institutions.
Why Might Others Matter for Federalism? And How Might Federalism Affect Others?

It might be tempting to dismiss the role of others, arguing that such groups are unlikely to destabilise state functions. For example, neither gender nor LGBTQ minorities are territorially concentrated nor do they seek territorial solutions to the problems of exclusion amid inclusion. As Anne Phillips (1995: 15) notes, “no one really expects women to secede.” Yet, there is an emerging body of literature that suggests that the design of federations matters for the pursuit of gender and sexual equality. In an important article synthesising this body of work, Jill Vickers identifies at least 17 possible hypotheses on federal effects on gender reforms (see Vickers 2013a: 9, Table 1). While this nascent literature continues to offer differing interpretations of federal effects on others, three questions are particularly salient:

1. **Does federalism constrain or enhance the mobilising potential of others?** Some scholars point to a “federalism advantage.” The claim is that federalism enhances the mobilising potential for others through “venue shopping,” or the ability to lobby more than one level of government. That is, “if one door is locked, a number of other doors may be unlocked” (Stockemer and Tremblay, 2015: 607). Yet, the availability of multiple access points is contingent on federal design. In a study of women and LGBTQ mobilisation in Belgium, Karen Celis and Petra Meier (2016) found that such advocacy work was constrained by the fact that accommodationist designs, such as Belgium’s, provide for the exclusive division of competences between levels, thereby limiting the potential for venue shopping. Mobilisation was further limited by the replication of the ethno-linguistic divide in civil society. In Belgium, women’s and LGBTQ groups exist primarily within each linguistic community (Celis and Meier, 2016: 7), and there are few opportunities for them to work collectively. The federalism advantage is thus not always so straightforward.

2. **Does federalism produce differential policy impacts for others based on their geographical position?** The concern here is that as powers are divided and allocated, federations might assign “issues that are significant to women (such as welfare programs and social policies) to the less powerful and less well-resourced sub-national governments” (Stockemer and Tremblay 2015). While some federations have equalisation strategies to minimise differences in outcomes, others do not. Women in Bosnia and Herzegovina, for example, have very different levels of access to maternal health benefits depending upon which Entity and which canton they reside in (Women’s Network BiH 2015). The resolution of family law matters, including custody, child support and protection order enforcement, can also be quite variable depending on the nature of the federal design (Vickers, 2013b: 58).

3. **Does federalism promote or limit the legislative representation of others?** Another important line of inquiry investigates whether federations have greater levels of representation of others than do unitary states. Either federalism’s multiple access points serve women well by creating a greater number of elected positions to fill and more opportunities to lobby for gender equality or federalism hinders their access, relegating women and others to “less important levels of the decision-making process” (Stockemer and Tremblay, 2015: 609). Stockemer and Tremblay (2015) find that federalism has a moderate effect on representation, returning 3-4% more female representatives than unitary states. Here too, results may be contingent on the form federalism takes, with the relationship between federalism and representation not always clear-cut.

While further empirical inquiry is still needed, it is clear that institutional designs that only focus on ethnicity - whether to reinforce it or cut across it - tend to obscure the complex ways in which citizens organise their lives, thereby calling into question their long-term democratic legitimacy.
Conclusion

The design of democratic institutions is often a contentious process and it remains an on-going challenge to cultivate institutional legitimacy. As Donald Horowitz (1993: 18) has remarked, divided societies exhibit a “tendency to conflate inclusion in the government with inclusion in the community and exclusion from government with exclusion from the community.” For this reason, it is important to design institutions that support democracy for dominant groups, including ethnic minorities. Yet, non-dominant groups – the others – also deserve recognition, representation and access to democratic decision-making channels. Even if a federation’s origins are ethnic-specific, this should not preclude bringing gender, sexuality, class and other non-ethnic identities into the design process. Doing so acknowledges that citizens’ identities are complex and multi-layered. Reflecting the varied ways in which citizens organise their lives in governing institutions is likely to enhance institutional legitimacy and stability, qualities that are often in short supply in divided societies.


Bibliography

Further Reading